ACOUSTIC TESTS OF SMALL WIND TURBINES*§

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ABSTRACT

Eight small wind turbines ranging from 400 watts to 100 kW in rated power were tested for acoustic emissions at the U.S. Department of Energy’s National Renewable Energy Laboratory. Rigorous test procedures based on international standards were followed for measurements and data analyses. Results are presented in the form of sound pressure level versus wind speed, where the sound was recorded downwind of the turbine at a distance equal to the hub height plus half the rotor diameter. When there was sufficient separation between wind turbine noise and background noise, the apparent sound power level was calculated. In several cases, this was not possible. The implications of this problem are discussed briefly. Some of the configurations tested were specifically developed to reduce the noise level of their predecessors. Test data for these machines demonstrate marked progress toward quieter turbines.

INTRODUCTION

Until recently, wind turbine manufacturers and operators were challenged by the tasks of keeping machines operating reliably and improving energy capture. Although dramatic improvements have been made in both areas, there have been occasions when acoustic emissions proved so vexing they overshadowed performance and reliability issues. For example, some wind turbines suffer an unfavorable reputation for noise problems associated with high tip speeds, furling, or blade flutter. The U.S. Department of Energy (DOE) and its National Renewable Energy Laboratory (NREL) are engaged in several turbine research and demonstration projects focused on reducing the cost of energy at low wind speed sites. Recent analyses have shown that this effort, if successful, will lead to the installation of wind turbines in large numbers. In this circumstance, it is essential that the turbines available for deployment are quiet. This suggests there should be an effort by NREL to measure the acoustic signature of existing turbines and work diligently to reduce (below the state of the art) the signatures of new turbines being developed. Coincidentally, with recent energy shortages and the ensuing statewide deployment incentives, there is resurgence interest in small wind turbines for distributed generation. Because of the potential for installation near residences, noise may be even more important for small turbines than for large turbines installed in wind power plants.

Because small wind turbines are sold in large numbers and located close to people, there is a need for reliable noise data. If it was available, homeowners and local authorities could use the information to develop expectations regarding noise production before the turbines are actually installed. Furthermore, based on field test observations and the influence of the parameters investigated, improvements to the turbines might be made with relative ease and low cost.

As part of its aeroacoustic research program, NREL performed acoustic tests [1] on eight small wind turbines with power ratings from 400 W to 100 kW. The goals of these tests were to develop a database of acoustic signatures to compare new and existing turbines and to establish targets for low-noise rotors. Test results will be documented and disseminated in the form of NREL reports, technical papers, seminars, and colloquia. This is part of broader effort to support the U.S. wind industry in applying rational acoustic-design principles to the development and deployment of advanced wind turbines.

Tests were conducted on two Bergey Excel and one XL.1 turbines, one Southwest Windpower Whisper H40 and two AIR turbines, an Atlantic Orient Corporation AOC 15/50, and a Northern Power Systems North Wind 100. In some cases, more than one configuration was tested to demonstrate noise reduction techniques. Measurements were made according to procedures described in the International Electrotechnical Commission (IEC) standard for acoustic noise measurement techniques [2] with minor modifications that were necessary for small turbines. In addition to the acoustic signals, wind speed and direction, turbine power and rotor speed were measured. In this paper, results are...
presented as sound pressure level and apparent sound power level for several wind speeds of interest. In the NREL report [1], noise spectra of sound pressure level versus imission\(^1\) frequency are also provided.

**MEASUREMENTS AND DATA ANALYSES**

Acoustic tests were conducted at the National Wind Technology Center (NWTC) near Boulder, Colorado. The site is located in somewhat complex terrain at an approximate elevation of 1850 m above sea level. The soil is covered with grassy vegetation and measurements indicate that the roughness length is approximately 0.05 m. A gravel mine and concrete plant to the west are the main sources of background noise, although passing automobiles and airplanes also contribute. The prevailing wind direction is 292° relative to true north.

Data were collected and analyzed according to the IEC standard [2] and NREL’s quality assurance system [3] where possible. A reference microphone was located downwind of the turbine at a distance equal to the hub height plus half the rotor diameter. The microphone was placed on a circular plywood ground board that is one meter in diameter and 13 mm thick. The ground board was placed on a flat surface with no cavities beneath and the edges of the board were covered with dirt. Three additional microphones and ground boards were placed around some turbines for special tests. For this study, only data from the reference microphone were considered.

Wind speeds of 6–10 m/s were measured, although measurements were taken outside this range for some turbines. Data were obtained for both the operating and parked conditions to allow correction for background noise. In circumstances of intrusive background noise, such as airplanes, automobiles or animals, the test data were discarded.

In addition to the acoustic pressures, wind speed and direction were measured. Both were essential to the subsequent analysis, and particular importance is assigned to having the reference microphone downwind of the turbine. For some tests, rotor speed and power were also measured with the expectation that these data might provide insight regarding noise-generating mechanisms.

Acoustic data were recorded on an 8-channel digital audiotape (DAT). All other data were recorded on a digital data logger. The analog microphone signals were recorded (digitally) on the DAT and then played back as analog inputs to a signal analyzer. Depending on the desired averaging period, either 1-minute or 10-second average sound pressure\(^2\) levels were calculated. Although the IEC standard prescribes 1-minute averages, 10-second averages seem to reflect the system dynamics better for small turbines. The sound pressure levels were synchronized with the averages of the other data channels, and the average wind speed was determined for each data point then normalized to standardized conditions.

The wind speed standardization equation takes the wind speed measured at any height and roughness length and normalizes it to a “standardized” height of 10 m and a roughness length of 0.05 m. The formula used for this transformation is given in Equation (1).

\[
V_s = V_z \cdot \left[ \frac{\ln (10/0.05)}{\ln (H/z_0)} \times \frac{\ln (H/0.05)}{\ln (z/z_0)} \right]
\]

where,

- \(V_s\) is the standardized wind speed (m/s)
- \(V_z\) is the wind speed (m/s) measured at height \(z\)
- \(H\) is the rotor center height (m)
- \(z\) is the wind speed measurement height (m)
- \(z_0\) is the roughness length of the test site (m)
- \(H_0\) is the rotor center height (m)

Noise measurements for the operating wind turbine (wind turbine plus background noise) are correlated with background-only noise measurements at standardized wind speeds. The noise measurements are then corrected for background noise using Equation (2).

\[
L_s = 10 \cdot \log \left[ 10^{(L_s/n/10)} - 10^{(L_n/10)} \right]
\]

where,

\(L_p = 10 \cdot \log [p^2 + \bar{P}^2]\) expressed in decibels, dB,

where \(p\) is the root mean square sound pressure and \(\bar{P}\) has a value of 2 \cdot 10^{-5} Pa corresponding to the weakest audible sound – the threshold of human hearing – at a frequency of 1000 Hz.

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\(^1\) In the study of acoustics, the term “imission” refers to the noise level perceived by an observer at a receptor location. This is in contradistinction to the term “emission” which means ‘something sent forth by emitting’ and refers to the strength of the acoustic source.

\(^2\) Sound is characterized by small pressure fluctuations overlying atmospheric pressure, but the human ear does not respond linearly to the amplitude of sound pressure [4]. Doubling the amplitude produces the sensation of louder noise, but it seems far less than twice as loud. For this reason, the scale used to characterize sound pressure amplitudes is logarithmic, which is an approximation of the actual response of the human ear. The definition of sound pressure level \(L_p\) is
L_s is the equivalent sound pressure level (dB) of the wind turbine operating alone
L_{sw+bn} is the equivalent sound pressure level (dB) of wind turbine plus background noise
L_n is the equivalent sound pressure level (dB) of the background noise

The background-corrected sound pressure level of the wind turbine is translated into sound power\(^3\) level using Equation (3). The 6 dB constant accounts for the approximate doubling of sound pressure that occurs for microphone measurements on a ground board [2].

\[
L_{WA} = L_{A\text{eq},c} - 6 + 10 \cdot \log \left[\frac{4\pi R_1^2}{S_o}\right]
\]

where,

- \(L_{WA}\) is the background-corrected A-weighted\(^4\) apparent sound power level of the turbine, dB(A)
- \(L_{A\text{eq},c}\) is the background-corrected A-weighted sound pressure level determined from analysis of multiple data pairs as described below, dB(A)
- \(R_1\) is the slant distance, in meters, between the microphone and the rotor center
- \(S_o\) is the reference area, \(S_o = 1 \text{ m}^2\)

In practice, Equation (2) is not applied to individual data points. Instead, a large amount of data is accumulated and calculations are based on trends or averages. A linear regression is used to fit a straight line through the measured sound pressure level data for the operating wind turbine between the standardized wind speeds of 6 and 10 m/s. The process is repeated for background noise measurements. Then, the background-corrected sound pressure level is determined for a particular wind speed by subtracting the two results using Equation (2). However, according to the IEC Standard [2], if the difference in sound pressure level between the operating wind turbine and the background noise is less than 6 dB, the data may not be used for determination of the sound power level at that wind speed. If the difference is at least 6 dB, the sound power level for the turbine is calculated from Equation (3).

A second method for calculating sound power level was used in some cases. All of the acoustic data for the operating turbine and for the background noise were sorted and energy averaged in 1-m/s wind speed bins centered on integer values. A sound pressure level for the operating turbine was calculated for each wind speed if there were more than three data points in the bin. This process was repeated for background measurements. For each wind speed bin, the operating turbine noise was corrected for background noise using Equation (2). If the difference between the two was at least 6 dB, the sound power level for the wind turbine operating alone was calculated using Equation (3). This method was used for the comparisons in Table 1.

In addition to evaluating the sound power level as described above, it is useful to examine the spectra of sound pressure level versus frequency. NREL uses one of two approaches, depending upon the availability of data. Either two 1-minute spectra or twelve 10-second spectra having wind speeds closest to the reporting wind speed were energy averaged to obtain one spectrum. These narrow band spectra, so called because small incremental frequency bands were used, were reported [1] for wind speeds of 6, 8, and 10 m/s.

In some cases, the narrow band spectra were analyzed for the presence of pure tones. That information is not reported here but may be found in the individual test reports [5, 6, 7, 8]. The spectra were visually checked for the presence of possible tones. Similar spectra were developed for background noise around the same wind speeds to make sure that the peaks did not originate from the background noise. If there were no obvious tones indicated and nothing was heard during the tests, no further analysis was performed. If tones were observed, the Measnet [9] procedure was used to determine tonality. In this procedure, the critical band is identified and the tone and masking noise levels are calculated. The tonality value is the difference between the tone level and the masking noise level.

\(^{3}\) Whereas sound pressure level is a property of the observer location [4], the total strength of a source of sound is characterized by the sound power emitted by the source. In general, the sound power \(P\) transmitted through a surface \(S\) is the integral of the sound intensity \(I\) (energy transmitted per unit time and unit area) over the surface. If the surface \(S\) encloses the source of the sound, then \(P\) is the total sound power emitted by the source. The definition of sound power level is

\[
L_p = 10 \cdot \log \left[\frac{P}{P_{ref}}\right] \text{ expressed in decibels, dB,}
\]

where \(P_{ref} = 10^{-12}\) watts is the standard reference sound power. The eardrum can detect incoming sound power as weak as one picowatt, and exposure to incoming sound power of more than one watt will result in some hearing loss.

\(^{4}\) The ear is not equally sensitive to tones of different frequencies. Maximum response occurs between 3000 and 4000 Hz, where the hearing threshold is somewhat less than 0 dB. A 100 Hz tone, however, must have an intensity of 40 dB to be heard [4]. Therefore, weighted sound levels have been introduced where lower frequencies are de-emphasized in a manner similar to human hearing. A-weighting is most commonly used and is well suited for sound levels that are not too high.
BERGEY EXCEL-S TURBINES

Bergey Windpower Company of Norman, Oklahoma, (www.bergey.com) manufactures the Excel-S (shown above), which is a three-blade upwind turbine that Bergey rates at 10 kW at a wind speed of 13 m/s. It is connected to a Bergey Gridtek inverter that provides power to the NWTC electrical grid. The Excel uses a permanent magnet alternator to produce three-phase variable frequency output at a nominal 240-volts. The three-phase output is rectified to DC power and then converted to single-phase 240-volt 60 Hz AC power in the inverter. The turbine blades are constructed of pultruded fiberglass. In high wind speeds—greater than about 16 m/s—the turbine will furl out of the wind to protect it from over-speeding.

The rotor diameter of the machine tested at the NWTC was 7 m and its hub height was 36.5 m. The slant distance of the microphone, an important parameter in Equation (3), was 54.5 m. To better reflect the dynamics of the turbine, 10-second averages were used instead of 1-minute averages. Wind speed was measured at hub height and standardized using Equation (1).

The Bergey Excel operates both loaded and unloaded, a condition defined by whether or not it is connected to the load. The load in this case was the utility grid. Because the operating condition has a strong influence on the noise characteristics, measurements were taken under both conditions.

Figure 1 shows the measured sound pressure levels for an earlier version of the Excel with BW03 airfoils. The graph also shows sound pressure levels measured when the inverter was offline (turbine was unloaded) for all or part of the 10-second averaging period. In this situation, the noise level increases approximately 4 dB(A) to 5 dB(A) compared to the turbine loaded. The apparent sound power level at 8 m/s, a common comparison point for wind turbines, was found to be 98.4 dB(A).

The Excel was also tested with a second blade set that had a reduced rotor diameter of 6.17 m, an opposite direction of rotation, and a Selig-Hanley SH3052 airfoil. The slant distance from the turbine to the microphone was the same as for the previous BW03 tests. Figure 2 shows a dramatic reduction in measured noise for this configuration. For example, in the range of 8 – 14 m/s the sound pressure level of the operating turbine was reduced by approximately 10 – 15 dB(A).

Although the turbine noise could not be separated from the background noise for the SH3052 blades (Figure 2), the sound pressure level can be compared directly to the BW03 blades (Figure 1), because the slant distance was identical in both tests, and the background noise levels were virtually the same. In high wind conditions, both configurations became noisy when the inverter was offline and the unloaded rotor increased speed. Thus, it is desirable to prevent the inverter from going offline under normal operating conditions, a feature that was not characteristic of the turbine tested at the NWTC.

SOUTHWEST WINDPOWER AIR TURBINES

Southwest Windpower, Inc., of Flagstaff, Arizona, (www.windenergy.com) produces the AIR 403 (shown above), a three-blade upwind turbine with a manufacturer’s rated power of 400 watts at 12.5 m/s. The DC output of the turbine was connected to a DC bus that was also connected to a battery bank and an Enermaxer. This device maintained the DC bus voltage at a constant 13.2 volts to prevent the turbine from shutting
down when the batteries were fully charged. The **AIR 403** is a free yaw turbine that employs aero-elastic stall, also known as flutter, for over-speed protection.

The machine tested at the NWTC had a rotor diameter of 1.14 m and a hub height of 13.3 m. The anemometer was mounted on a boom from the same tower. The microphone at the reference position was located at a slant distance of 19.1 m.

Figure 3 shows the measured sound pressure level for the **AIR 403**. Three patterns are distinguishable. At higher wind speeds, the turbine flutters as a means of over-speed control. Green triangle markers indicate the 10-second time periods during which the blades experienced flutter. Small horizontal bars on the markers indicate continuous flutter. The 10-second time periods during which the blades did not flutter are indicated with blue diamond markers. It appears that flutter increases the noise of the turbine approximately 10 – 12 dB(A). The apparent sound power level at 8 m/s, when the blades do not flutter, was found to be 81.2 dB(A).

We were not able to collect background noise data at higher wind speeds nor calculate the sound power level when the blades flutter. However, we estimated a background noise level of 65 dB(A) by extrapolating the available data to 20 m/s. By binning data between 18 and 20 m/s, we estimated a sound power level of 112.5 dB(A) for the blades in flutter, which is quite loud.

To mitigate the impact of this blade flutter, Southwest Windpower developed a new version of the turbine called **Air X**. The **Air X** controller causes the blades to stall if the rotor speed or DC voltage exceed set limits. A marine version of this turbine was tested at the NWTC. The distinctions from the standard version are corrosion protection and sealed electronics.

The measured sound pressure level of the **AIR X** is shown in Figure 4. During normal operation, when the blades are not fluttering, two groups of data can be distinguished. One group, which is representative of power production mode, is plotted above the background noise level. A second group overlays the background noise level, shown in this plot with open symbols. This lower noise level—sometimes as much as 10 dB(A) lower—occurs when the turbine is operating in stall mode or automatic shutdown mode. This is caused by the turbine controller attempting to limit the rotor speed. In turbulent winds, which are typical of the NWTC test site, rotor speed control is not precise. Therefore, the 10-second averages do not always reflect the same rotor speed.

If a curve is fit or a bin analysis is performed using the entire set of normal operation data, the resulting sound pressures will be mix of normal operation, stall mode, and parked data. This procedure would underestimate the noise level an observer would experience during the normal power production mode.

Figure 4 exhibits a curious trend between 6 and 10 m/s, where the sound pressure level is unexpectedly low. Repeated reviews of the test data failed to provide an explanation for this behavior, although it is likely to be a result of the controller limiting rotor speeds.

In comparing Figures 3 and 4, it is evident that the control strategy implemented on the **AIR X** was successful in reducing the occurrence of flutter-induced noise.

### BERGEY XL.1 TURBINE

The Bergey **XL.1** (shown above) is a three-blade upwind turbine with a manufacturer’s rated power of 1 kW at a wind speed of 11 m/s. A permanent magnet generator produces three-phase variable frequency output that is rectified to 24 volts DC. The turbine uses sideways furling for over-speed protection. It has a rotor diameter of 2.5 m and a hub height of 9 m. The microphone at the reference position was located at a slant distance of 13.8 m.

Figure 5 shows the measured sound pressure level for the **XL.1**. The measured values are quite low and the apparent sound power level at 8 m/s cannot be reported because the turbine noise level could not be separated from the background noise.
The *Whisper H40* (pictured above) is a three-blade upwind turbine with a rated power of 900 watts at a wind speed of 12.5 m/s. As tested, the turbine had its 24-volt DC output grid connected via a Trace SW4024 inverter. Power and over-speed control are by a patented “angle governor” that combines horizontal and vertical furling.

The Atlantic Orient Corporation, of Norwich, Vermont, and Charlottetown, Prince Edward Island, Canada, ([www.aocwind.net](http://www.aocwind.net)) manufactures the *AOC 15/50* wind turbine (pictured above). It is a three-blade, downwind, free yaw machine with a rated power of 50 kW at 12 m/s. Its fixed-pitch, constant speed, stall-regulated, 15-m diameter rotor employs 7.2-m wood-epoxy blades manufactured by Aerpac/Merrifield Roberts. The rotor is mounted on the gearbox low-speed shaft, and the three-phase induction generator is connected to the gearbox high-speed shaft. The tower is a 24.4-m high, freestanding, three-leg lattice steel structure that provides a hub height of 25 m.

The turbine employs three independent brake systems. Electro-magnetically controlled tip plates are installed on the blade tips to provide aerodynamic braking. A capacitor/resistor network provides dynamic braking, and a mechanical brake is used for parking the rotor.

Figure 6 shows the measured sound pressure level for the *Whisper H40*. There was sufficient separation between the turbine and background noise to determine the apparent sound power level at 8 m/s. It was found to be 84.9 dB(A)

Figure 7 shows the measured 1-minute average sound pressure levels as a function standardized wind speed. The slant distance of the microphone was 41.2 meters. The apparent sound power level at 8m/s was found to be 101.1 dB(A) [6].
Northern Power Systems of Waitsfield, Vermont, (www.northernpower.com) manufactures the North Wind 100 (shown above), a three-blade upwind turbine with a rated power of 100 kW at 13 m/s. Its fixed pitch, variable speed, stall controlled, 19.1-m diameter rotor employs modified ERS 0100 blades manufactured by TPI Composites. The test turbine was mounted on a 23.4-m tubular steel tower that provides a hub height of 25.0 m. The grid-connected turbine uses a direct-drive (no gearbox) salient pole synchronous generator and is specially designed to operate in very cold climates.

Aeroacoustic emissions are a strong function of size. With a diameter of 19.1m, the North Wind 100 is larger than others in the test group. Comparisons [10] to similar turbines indicate that its sound pressure level is typical for machines of its size.

The AOC 15/50 and the early version of the Excel with BW03 blades have the highest noise levels of the turbines tested. Because it was one of the largest turbines tested, we expected the AOC 15/50 to be somewhat noisier. Test engineers also observed that mechanical noise was more prevalent than on other turbines. Furthermore, the AOC 15/50 employs tip plates that are likely to add aeroacoustic noise. In support of this hypothesis, we note that tests of an AWT-26 turbine at the NWTC measured an increment of almost 2 dB(A) for similar tip plates. These tests were conducted with a tip plate on one blade and conventional tip on the other, thus leaving no question of differences in test conditions or instrumentation.

Significantly, improvements made to the Excel reduced acoustic emissions to the point that turbine noise could not be separated from background noise. For this reason, the Excel with SH3052 airfoils does not appear in Figure 9, but Figures 1 and 2 corroborate this assertion.

The Air 403 data do not exhibit the smooth trends of the other turbines. By listening to the sound recordings, we learned that several of the measurements actually captured the noise of the blades in flutter. Figure 3, which was discussed previously, clearly illustrates this.

Considering the difficulties introduced by variations in background noise, it is interesting to compare the levels from different tests. Several of these are shown in Figure 10, where it can be seen that a range of 10 dB(A) is typical for most wind speeds. It appears that the variation in background noise is greater at low wind speeds than at high wind speeds where the noise of the wind itself masks some of the other constituents of background noise. We also observed that at low wind speeds, the highest background noise levels correspond to the test sites closest to the concrete plant. This was expected because of the relationship between sound pressure level and the distance from the source, as seen in Equation (3). Recognizing the importance of a quiet site for acoustic testing, we are exploring other locations at the NWTC (further from known noise sources) for future tests.
A series of field tests were conducted to measure the acoustic noise of several small wind turbines. Rigorous procedures for both testing and data analyses were followed. Because the NWTC is a turbulent site, the wind turbines, some of which have temperamental controls, sometimes have different acoustic signatures on different days even at the same wind speed. Particularly vexing is the variation in background noise and the inability to separate it from turbine noise for the quieter machines. This has prompted NREL researchers to seek quieter sites that are less susceptible to background noise variations.

In considering individual turbines, we conclude that for the Bergey Excel and Southwest Windpower AIR turbines, the manufacturers’ efforts to reduce noise through the use of new airfoils or control techniques have resulted in quieter turbines.

In normal operation, the Excel turbine with SH3052 blades exhibits significantly lower noise than its predecessor with BW03 blades. NREL researchers attribute this improvement to the new airfoils and reduced tip speed owing to smaller rotor diameter. In high wind conditions and unloaded (inverter offline), both turbines become much noisier.

In normal power-production mode, the AIR 403 and the AIR X exhibit similar noise characteristics. In high wind conditions, when the blades flutter, the AIR 403 becomes much noisier than in normal operation. Control improvements in the AIR X, which stall the blades when rotor speed exceeds set limits, reduced the occurrence of this flutter-induced noise.

For the Excel with SH 3052 blades, the XL.1, and the Whisper H40 at virtually all wind speeds above 7 m/s, separation between operating turbine and background noise levels was less than 6 dB(A).

ACKNOWLEDGEMENTS

Conducting multiple test campaigns over many years while maintaining scientific rigor is a formidable challenge. Arlinda Huskey and Jeroen van Dam spent countless hours calibrating instruments; setting up experiments, some in miserable weather; listening to recordings; analyzing and plotting data; and writing reports. It is difficult to overstate their accomplishments as documented in this paper and the NREL report [1].

REFERENCES

Figure 1. 10-second average sound pressure level for Bergey Excel-S with BW03 airfoils

Figure 2. 10-second average sound pressure level for Bergey Excel-S with SH3052 airfoils
Figure 3. 10-second-average sound pressure level for Southwest Windpower AIR 403

Figure 4. 10-second average sound pressure level for Southwest Windpower AIR X
Figure 5. 10-second-average sound pressure level for Bergey XL.1

Figure 6. 10-second-average sound pressure level for Southwest Windpower Whisper H40
Figure 7. 1-minute-average sound pressure level for Atlantic Orient Corporation AOC 15/50

Figure 8. 1-minute-average sound pressure level for Northern Power Systems North Wind 100
Table 1. Apparent sound power level for turbines with at least 3 dB(A) separation from background noise. Values were obtained by the bin analysis method described on page 3.

**Insufficient data are available.**

**Separation between operating turbine and background noise is less than 3 dB.**

*b* Numbers in italics have separation between operating turbine and background noise of between 3 and 6 dB.

Figure 9. Apparent sound power level for turbines with at least 6 dB(A) separation from background noise. Values were taken from Table 1.
Figure 10. Background sound pressure level for several of the turbines tested.